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(11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

362-43 PCT/US

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/600931

INTERNATIONAL APPLICATION NO.
PCT/JP99/00225INTERNATIONAL FILING DATE
22 January 1999PRIORITY DATE CLAIMED
23 January 1998

TITLE OF INVENTION

DAMASCENE INTERCONNECTION AND SEMICONDUCTOR DEVICE

APPLICANT(S) FOR DO/EO/US

Yamamoto, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

Return-receipt postcard.

Copy of International Publication No. WO99/38204

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/600931)		INTERNATIONAL APPLICATION NO. PCT/JP99/00225		ATTORNEY'S DOCKET NUMBER 362-43 PCT/US	
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21. The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				\$840.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	14 - 20 =	0	x \$18.00	\$0.00	
Independent claims	2 - 3 =	0	x \$78.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$840.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>				\$0.00	
SUBTOTAL =				\$840.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00	
TOTAL NATIONAL FEE =				\$840.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input checked="" type="checkbox"/>				\$40.00	
TOTAL FEES ENCLOSED =				\$880.00	
				Amount to be: refunded	\$
				charged	\$

☒ A check in the amount of **\$880.00** to cover the above fees is enclosed.

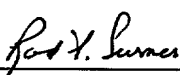
☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **08-2461** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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 SIGNATURE

Rod S. Turner
 NAME

38,639
 REGISTRATION NUMBER

21 July 2000
 DATE

09/600931

534 Rec'd PCT/PTC PATENT 21 JUL 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yamamoto, et al.

Examiner: Unassigned

Serial No.: Unassigned

Group Art Unit: Unassigned

Filed: Herewith

Docket: 362-43 PCT/US

For: DAMASCENE
INTERCONNECTION AND
SEMICONDUCTOR DEVICE

Dated: July 21, 2000

Assistant Commissioner for Patents
Washington, DC 20231PRELIMINARY AMENDMENT

Sir:

Prior to the examination of this application, please amend the application as follows:

IN THE CLAIMS:

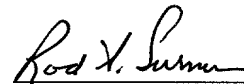
Please amend the claims as follows:

Claim 7, line 1 thereof, delete "to 6".

Claim 14, line 1 thereof, change "any of claims 8 to 13 " to --claim 8--.

REMARKSThe amendment herein to the claims is made to delete the multiple dependency of
Claims 7 and 14.It is believed that the application is in proper form for examination and such action is
respectfully solicited.

Respectfully submitted,



Rod S. Turner

Registration No.: 38,639

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(516) 822-3550EXPRESS MAIL CERTIFICATE

Date 21/7/00 Label No. EL491452128US

I hereby certify that on the date indicated
above I deposited this paper or fee with the
U.S. Postal Service & that it was addressed
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Susan L. Toledano

Name (Print)

(Signature)

Damascene Interconnection and Semiconductor Device

5 This invention relates to damascene interconnections and semiconductor devices. More particularly, the invention relates to a damascene interconnection having a bonding pad formed by a pad trench and a metal or conductive film filling the pad trench, and to a semiconductor device using same.

Recently, so-called the damascene process has been adopted in providing multilevel interconnections for a semiconductor device having a metal or conductive film buried in the insulating film.

Briefly explaining a general damascene interconnection, an insulating film 2 formed on a semiconductor substrate 1 as shown in Figure 1(a) is etched using a mask of resist 3 patterned corresponding to an interconnection, as shown in Figure 1(b), thereby forming a trench 4. After removing away the resist 3, a conductive film 5 is formed covering the trench 4 as shown in Figure 1(c). Then, the conductive film 5 in areas other than the trench 4 is removed in a polishing process using, for example, a Chemical Mechanical Polish process (hereinafter referred to as "CMP process"), as shown in Figure 1(d).

It is known that, where removing the conductive film 5 by the CMP process, the greater is the opening area of the trench the higher is the polish rate on the conductive film buried in the trench, as shown in Figure 2. There encounters no especial problem in regions having a small trench opening area, such as in usual interconnections. However,

in regions having a large trench opening area, such as a bonding pad 6 shown in Figure 3, the conductive film 5 in the trench is polished into a dish-like form by an abrasive as shown in Figure 4, thus resulting in so-called dishing. Due to this, there are cases that disconnection or increase of resistance occurs in a central portion A where the wall thickness is reduced when providing connection between the bonding pad and the IC frame.

SUMMARY OF THE INVENTION

Therefore, it is a primary object of the present invention to provide a novel damascene interconnection and semiconductor device.

Another object of the invention is to provide a damascene interconnection capable of preventing resistance value increase or disconnection caused by dishing in a bonding pad, and a semiconductor device using the same.

A damascene interconnection according to the present invention, comprises: an interconnection trench formed in an insulating film and a pad trench communicating therewith; a protrusion formed by a portion not removed of the insulating film in the pad trench to decrease a substantial opening area of the pad trench; and a conductive film buried in the interconnection trench and the pad trench.

In the case of using such a damascene interconnection for a semiconductor device, such a semiconductor device, comprises: a semiconductor substrate; an insulating film formed on the semiconductor substrate; an interconnection trench formed on the insulating film and communicating with a semiconductor element; a pad trench formed on the insulating film and communicating with the interconnection trench; a protrusion formed by a portion of not removed of the insulating film in the pad trench and reducing a substantial opening area of the pad trench; and a conductive film buried in the

interconnection trench and the pad trench.

When removing the conductive film by a CMP process or the like, the protrusion dividing the pad trench serves as a stop of polishing by an abrasive. Consequently, so-called dishing will not occur that the conductive film in the pad trench is excessively removed. Thus, according to the invention, it is possible to prevent a bonding pad from being increased of resistance or causing disconnection resulting from dishing.

The protrusion may be formed not to divide the conductive film buried in the pad trench, or formed to divide the conductive film. However, where the conductive film is divided, another means is required to electrically couple together divided conductive film portions. The other means may be a contact hole for connecting between the conductive film formed in the insulating film and a conductive film arranged in a level lower than the insulating film. It should be noted that the contact hole is effective also where the conductive film in the pad trench is not divided by a protrusion.

The protrusion includes, in one embodiment, island protrusions distributed in a proper interval in the pad trench, and in another embodiment ridges.

The above described objects and other objects, features, aspects and advantages of the present invention will become more apparent from the following detailed description of the present invention when taken in conjunction with the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is an illustrative view showing a process for a general damascene interconnection;

Figure 2 is a graph showing a usual polish characteristic in CMP;

Figure 3 is an illustrative view showing a prior art;

Figure 4 is a sectional view on line IV-IV in Figure 3;

Figure 5 is an illustrative view showing one embodiment of the present invention;
Figure 6 is a sectional view on line VI-VI in Figure 5;
Figure 7 is an illustrative view showing a method for forming the Figure 5
embodiment;

5 Figure 8 is an illustrative view showing another embodiment of the invention;
Figure 9 is an illustrative view showing another embodiment of the invention;
Figure 10 is an illustrative view showing another embodiment of the invention;
Figure 11 is a sectional view on line - in Figure 10;
Figure 12 is an illustrative view showing another embodiment of the invention;
10 and
Figure 13 is an illustrative view showing another embodiment of the invention.

BEST FORM FOR PRACTICING THE INVENTION

A semiconductor device 10 of this embodiment shown in Figure 5 and Figure 6
15 includes a semiconductor substrate 12 formed, for example, of silicon (Si) or the like.
Note that the semiconductor substrate 12 may use any of other materials. Semiconductor
elements, including active and/or passive elements, are formed on the semiconductor
substrate 12, although they are not shown in the figure.

The semiconductor device 10 comprises a damascene interconnection 11
20 including, on the semiconductor substrate 12, an interconnection trench 16 extending
from the semiconductor element (not shown) and a pad trench 18 connected to the
interconnection trench 16. That is, an insulating film 14 is formed, for example, of silicon
oxide (SiO_2) in a uniform film thickness on the semiconductor substrate. In the insulating
film 14, the interconnection trench 16 and the pad trench 18 connected therewith are
25 formed. The insulating film 14 may use any of other materials.

In the pad trench 18 thus having the island-spotted protrusions 20, a conductive film 22 is formed using a metal as mentioned before or conductive material in a manner similar to that of the interconnection trench 16. Thus, the semiconductor element (not shown) on the semiconductor device 10 is electrically coupled through the conductive film 22 buried in the interconnection trench 16 to the pad trench 18, i.e. the conductive film 22 buried in the pad trench 18. Due to this, by bonding a wire (not shown) to the conductive film 22 formed in the pad trench 18, the semiconductor element is put in electrical connection to the wire, i.e. to the IC leadframe.

Hereunder, explanation is made on a method to concretely manufacture a semiconductor device 10 of the embodiment having a damascene interconnection 11 as described above, with reference to Figure 7. Incidentally, in Figure 7 an insulating film 14 is formed directly on a surface of a semiconductor substrate 12. It should however be noted that the semiconductor device 10 in practical has a proper number of semiconductor element layers as stated before and Figure 7 depicts an interconnection structure having only one layer for the sake of convenience.

An insulating layer 14 is formed on a semiconductor substrate 12 by thermal oxidation process or the like, as shown in Fig. 7(a). Thereafter, the insulating film 14 is masked with patterned resist 24 to leave island protrusions 20. Etching is made to form an interconnection trench 16 and a pad trench 18. At this time, a plurality of island protrusions 20 are formed in the pad trench 18. After removing the resist 24, a conductive film 22 is formed over an entire surface of the semiconductor substrate 12 including the interconnection trench 16 and pad trench 18 by a CVD or hot sputter process, as shown in Fig. 7(c). Then, the conductive film 22 on the insulating film 14 is removed as shown in Fig. 7(d) by a CMP process.

25 In the CMP process, the semiconductor substrate 12 (including the insulating film

14 and the conductive film 22) is urged onto a polishing pad mounted on a polisher table. The table and the substrate holder are relatively rotated while supplying to the polishing pad a slurry containing abrasive particles. When the conductive film 22 on the insulating film 14 is removed, the polishing operation is finished. In this case, the abrasive particle
5 for polishing is selected of kind (material, particle size, etc.) such that in CMP a polish rate on the insulating film 14 is lower than a polish rate on the conductive film 22. According to an experiment conducted by the present inventors, the polish rate in concrete is desirably given as (polish rate on the conductive film 22) / (polish rate on the insulating film 14) \geq 20 to 10. This is because in CMP the conductive film 22 on the
10 insulating film 14 needs to be removed as rapid as possible. However, the insulating film 14 should be prevented from being damaged due to polishing, and the island projections 20 are to prevent over-polish to the conductive film 22 of the pad trench 18. Consequently, there is a necessity of providing the insulating film 14 with greater polish resistance than that of the conductive film 22.

15 According to this embodiment, in the process of removing the conductive film 22 (Figure 7(d)), the protrusions 20 (insulating film 14) having a low polish rate acts such that the conductive film 22 is decelerated in proceeding of polishing by the polish pad. Thus, the conductive film 22 in the pad trench 18 can be prevented from being removed to an excessive extent. This in turn makes it possible to prevent the pad trench 18 from
20 increasing in resistance or occurrence of disconnection due to dishing.

That is, in the conventional art shown in Figure 3 and Figure 4, because the pad trench 6 is contacted in its entire opening by a polish pad (not shown), the pad trench 6 having a large opening area is partly over-polished into a result of dishing. On the contrary, in this embodiment, despite the pad trench entirely is large in opening area, the
25 opening is divided into unitary portions wherein the opening area is small if considered

on a portion sandwiched between the island protrusions 20. Due to this, over-polish will not occur. As a result, a conductive film 22 in the pad trench 18 is given a planar surface as shown in Figure 6 and Figure 7(d).

5 In this manner, in the present invention, where using a CMP method having a polish characteristic that the polish rate increases with increase in opening area, the forming of protrusions in the pad trench reduces the substantial opening area thereby preventing dishing.

10 Incidentally, the protrusions 20 may be in a form to divide the pad trench 18 into portions. The shape of a protrusion may be a straight line as shown in Figure 8 or a squared-spiral form as shown in Figure 9.

15 That is, in the embodiment shown in Figure 8, a plurality of protrusions or ridges 20 are formed extending from respective outer edges of four sides of a rectangular pad trench 18. It should be noted that, in also this case, the other areas of the pad trench 18 are continuous with one another. In also this embodiment, the substantial opening area is reduced in the areas of between the protruding ridges 20, between protruding ridges extending from different sides and between the protruding ridge 20 and the inner edge of the pad trench 18.

20 In the embodiment of Figure 9, a pad trench 18 has one ridge 20 formed in a squared-spiral form. In the Figure 9 embodiment, because the ridge 20 is in the spiral form, the pad trench 18 is not divided into. In this manner, by forming the ridge 20 in the spiral form, the opening area is substantially reduced in the areas of between portions of the ridge 20 and between the ridge 20 and the pad trench 18 inner edge.

25 Meanwhile, if necessary, connection holes or contact holes 26 may be formed through a bottom of the pad trench 18 to provide electrical connection between the conductive film 22 and a not-shown lower-level conductive film through these contact

holes 26.

Explanation is made in detail on an embodiment having contact holes 26 formed through the insulating film 14, with reference to Figure 10 and Figure 11,. This embodiment is to be applied to a semiconductor device having another layer formed in a level lower the insulating film 14, as shown in Fig. 11. That is, another insulating film 28 is formed on a semiconductor substrate 12, and further another conductive film 30 is formed on the insulating film 28. The insulating film 14 is formed on the conductive film 30. In a bottom of the pad trench 18, a plurality of contact holes 26 are formed penetrating through the insulating film 14. When forming a metal or conductive film 22 in the pad trench 18, a metal or conductive material thereof is also filled in the contact holes 26 to provide electrical connection between the upper-leveled conductive film 22 and lower-leveled conductive film 30. By thus forming the contact holes 26 in the pad trench 18 and connecting between the conductive films 22 and 30, it is possible to eliminate the disadvantage as feared upon forming protrusions 20 in the pad trench 18.

That is, the protrusions or ridges if formed in the pad trench 18 results in volume decrease of the pad trench 18, i.e. volume reduction of the conductive film 22 of the pad trench 18. It is to be feared that the bonding pad be increased in electric resistance by volume reduction in the conductive film 22 of the pad trench 18. However, the conductive film 22 if coupled to the conductive film 30 as in the Figure 10 and Figure 11 embodiments increases the effective volume of the conductive film 22, thus properly suppressing the electric resistance from increasing.

In an embodiment shown in Figure 12, contact holes 26 are added to the structure of the Figure 8 embodiment to thereby make the conductive film 22 of the pad trench 18 integral with a lower-leveled conductive film.

In an embodiment of Figure 13, a ridge 20 is formed in a closed-loop form in a

manner different from the Figure 9 embodiment. Accordingly, in this embodiment the conductive film 22 of the pad trench 18 is divided into portions, in a manner different from the above embodiment. In this case, the contact holes 26 are especially effective. That is, the formation of contact holes 26 connects the conductive film 22 of the pad trench 18 to a lower-leveled conductive film 30 (Figure 11). Consequently, the divided portions of the conductive film 22 of the pad trench 18 are electrically coupled together through the conductive film 30. That is, in the Figure 13 embodiment, the ridge or protrusion 20 is formed in a closed-loop form. However, there encounters no problem with disconnection in the pad trench 18 due to the protrusion or ridge 20 because the conductive film 22 is coupled to the lower-leveled conductive film through the via holes 26.

Incidentally, in the present invention, the protrusion or ridge for reducing the actual opening area of the pad trench may be provided in plurality in the pad trench or employed one in number.

Although the present invention has been described and illustrated in detail, it is clearly understood that the same is by way of illustration and example only and is not to be taken by way of limitation, the spirit and scope of the present invention being limited only by the terms of the appended claims.

WHAT IS CLAIMED IS:

1. A damascene interconnection, comprising:

an interconnection trench formed in an insulating film and a pad trench communicating therewith;

5 a protrusion formed by a portion not removed of said insulating film in said pad trench to decrease a substantial opening area of said pad trench; and

a conductive film buried in said interconnection trench and said pad trench.

2. A damascene interconnection according to claim 1, wherein said protrusion is formed not to divide said conductive film buried in said pad trench.

10 3. A damascene interconnection according to claim 2, wherein said protrusion increase a plurality of island protrusions distributed at a proper interval in said pad trench.

4. A damascene interconnection according to claim 2, wherein said protrusion includes a ridge.

15 5. A damascene interconnection according to claim 1, wherein said protrusion is formed to divide said conductive film buried in said pad trench.

6. A damascene interconnection according to claim 5, wherein said protrusion includes a closed-loop ridge encompassing one part in said pad trench.

20 7. A damascene interconnection according to any of claims 1 to 6, further comprising a contact hole formed in said pad trench and electrically connecting between said conductive film and another conductive film arranged in a level lower than said insulating film.

8. A semiconductor device, comprising:

a semiconductor substrate;

an insulating film formed on said semiconductor substrate;

25 an interconnection trench formed on said insulating film and communicating with

a semiconductor element;

a pad trench formed on said insulating film and communicating with said interconnection trench;

5 a protrusion formed by a portion of not removed of said insulating film in said pad trench and reducing a substantial opening area of said pad trench; and

a conductive film buried in said interconnection trench and said pad trench.

9. A semiconductor device according to claim 9, wherein said protrusion is formed not to divide said conductive film buried in said pad trench.

10 10. A semiconductor device according to claim 9, wherein said protrusion includes a plurality of island protrusions distributed at a proper interval in said pad trench.

11. A semiconductor device according to claim 9, wherein said protrusion includes a ridge.

12. A semiconductor device according to claim 8, wherein said protrusion is formed to divide said conductive film buried in said pad trench.

15 13. A semiconductor device according to claim 12, wherein said protrusion includes a closed-loop ridge encompassing one portion in said pad trench.

14. A semiconductor device according to any of claims 8 to 13, further comprising another conductive film formed in a level lower than said insulating film, and a contact hole formed through said insulating film in said pad trench and electrically connecting
20 between said conductive film and said other conductive film.

A semiconductor device includes an insulating film. On this insulating film, formed are an interconnection trench communicating with a semiconductor element and a pad trench communicating with the interconnection trench. In the pad trench, a protrusion is formed by leaving one part of the insulating film. A conductive film is formed over the insulating film including the interconnection and pad trenches. Thereafter, the conductive film is removed by a CMP process. At this time, the protrusion serves to prevent the conductive film in the pad trench from being over-polished.

FIG. 1

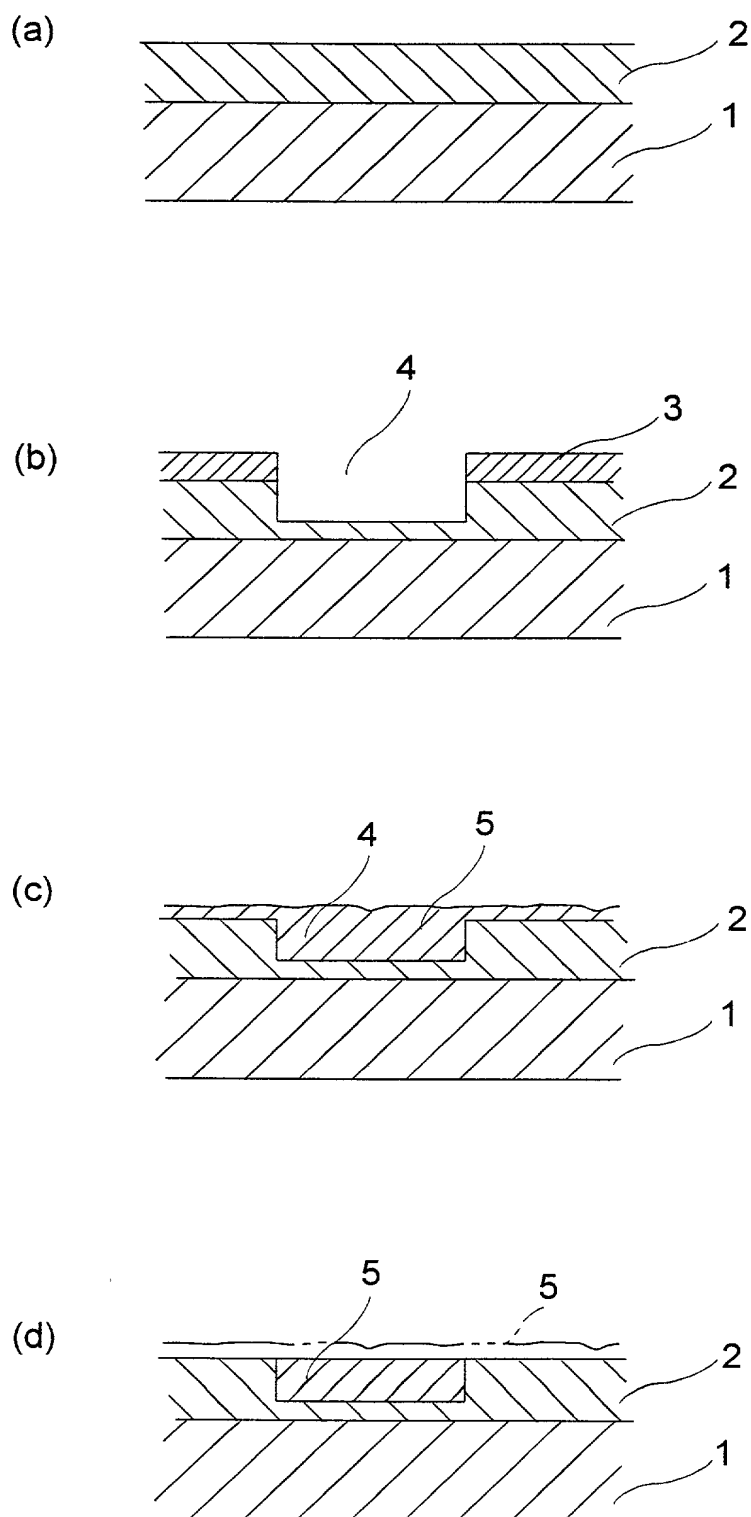


FIG. 2

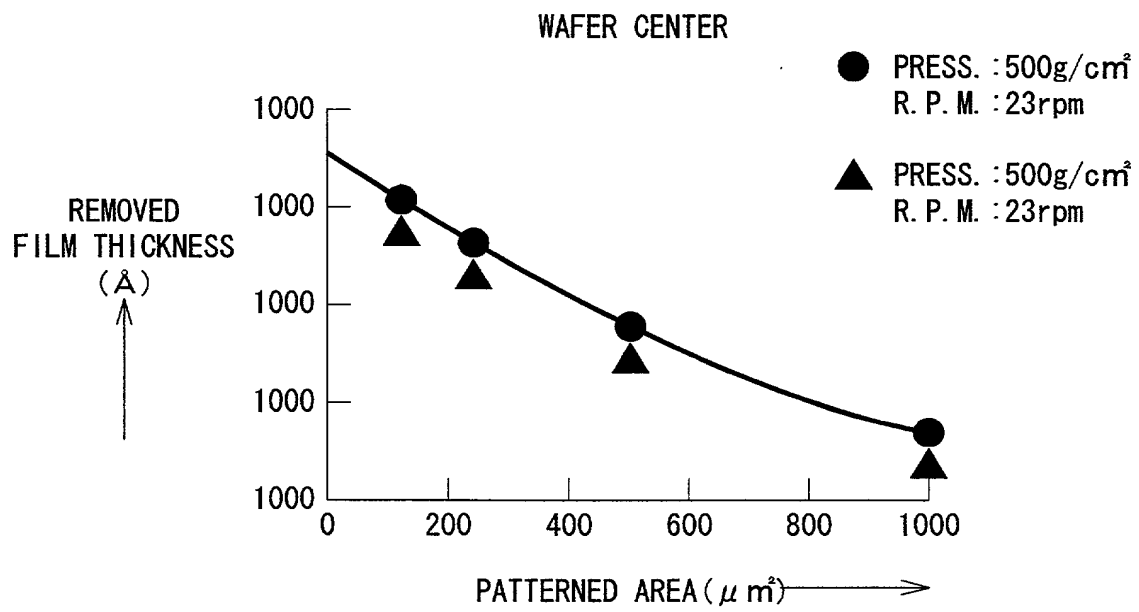


FIG. 3

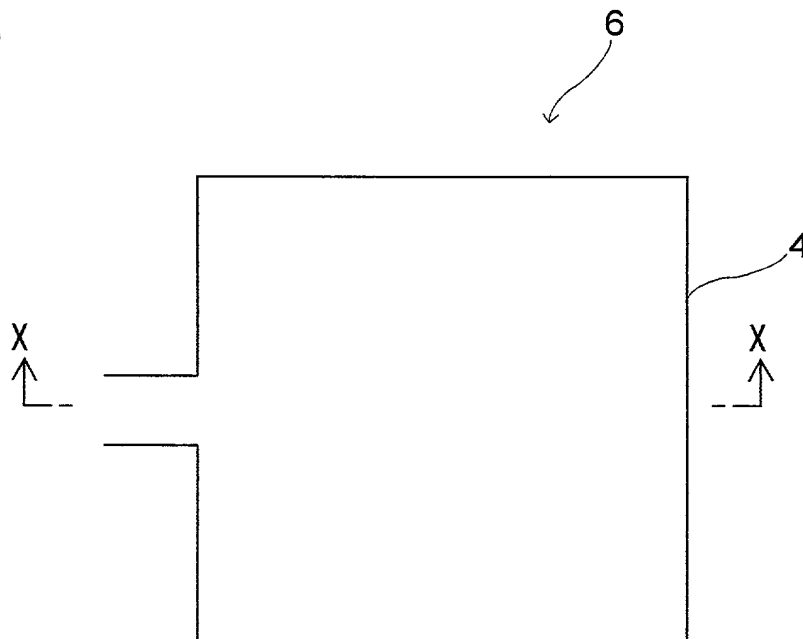


FIG. 4

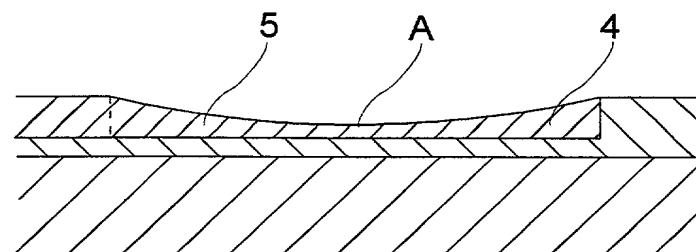


FIG. 5

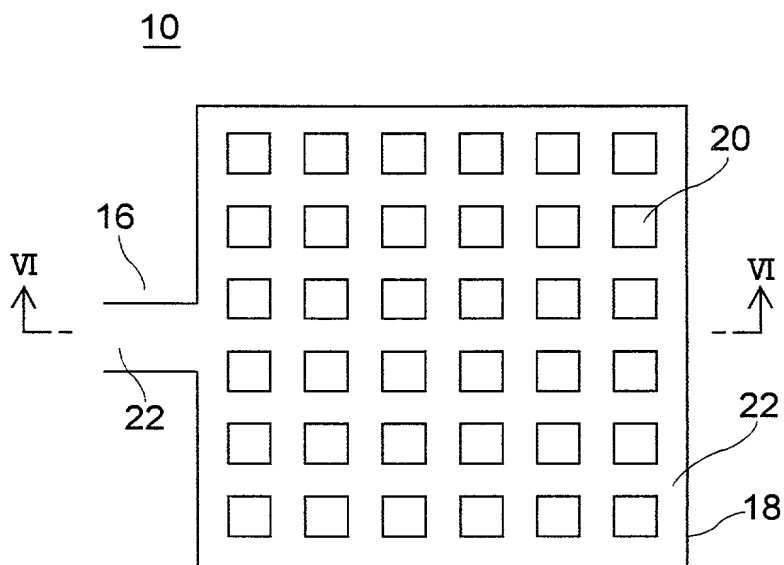


FIG. 6

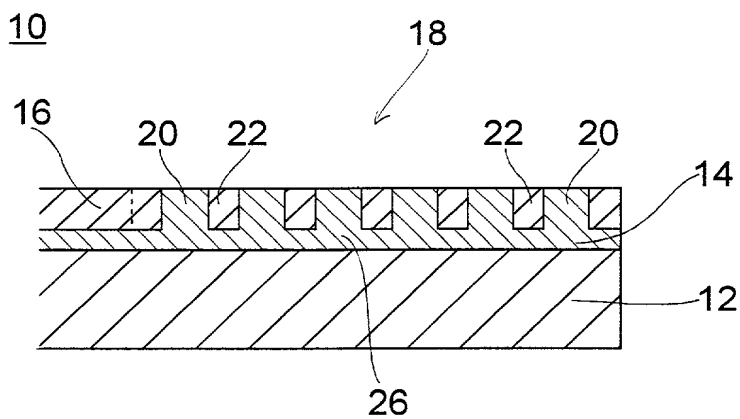


FIG. 7

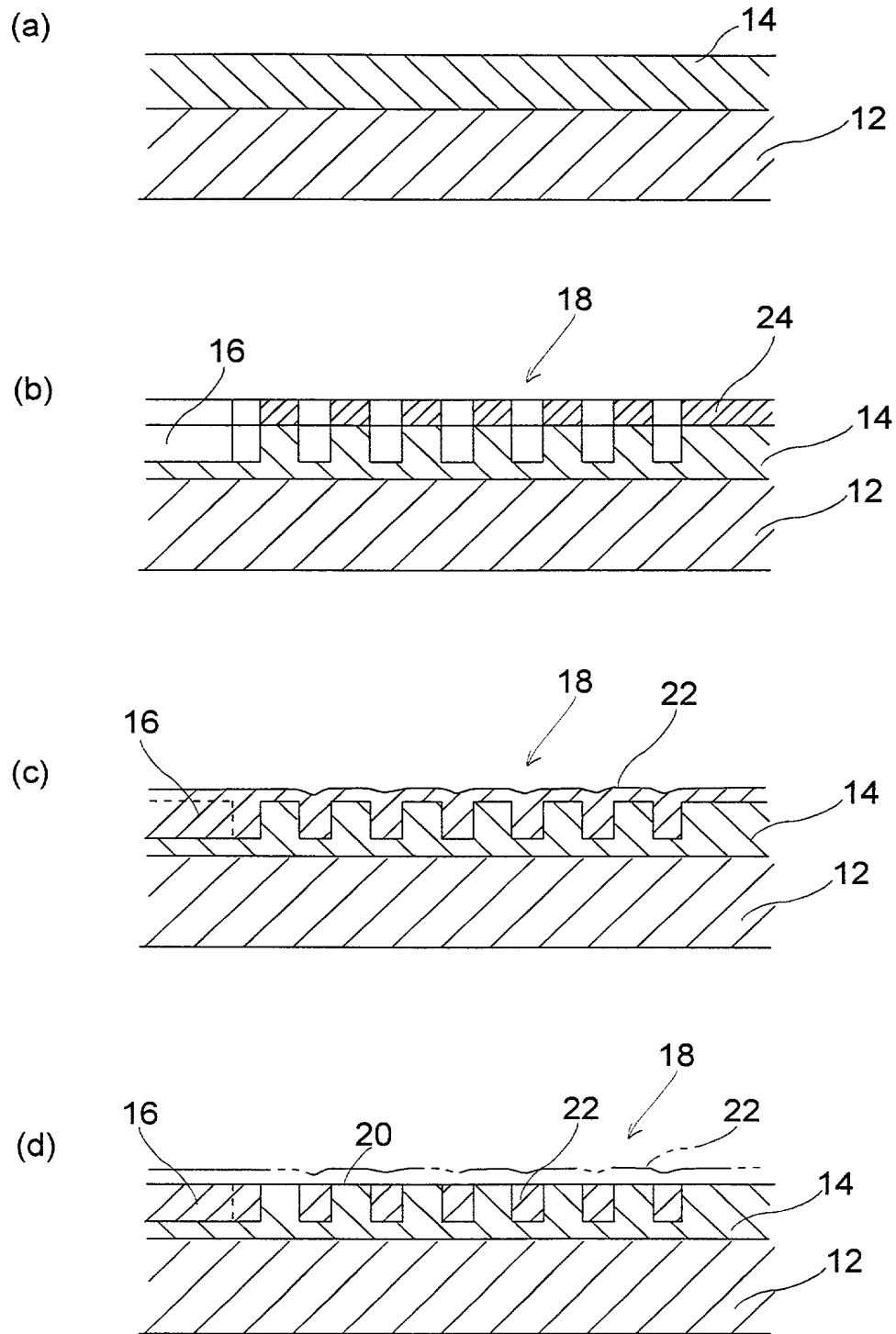


FIG. 8

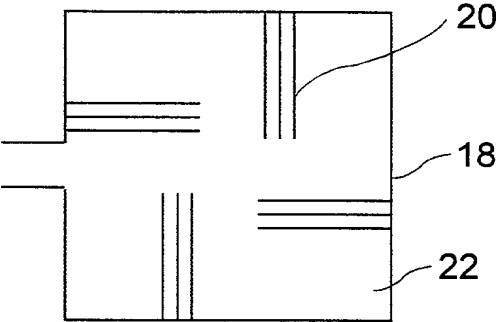


FIG. 9

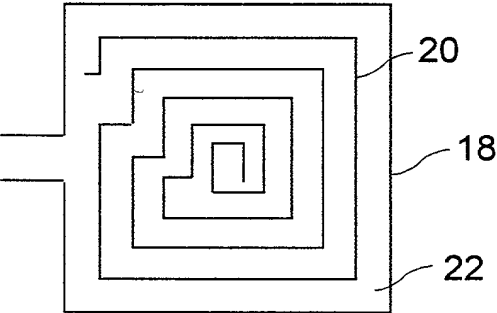


FIG. 10

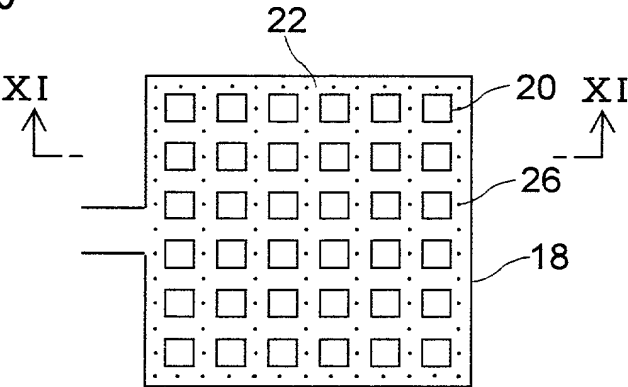


FIG. 11

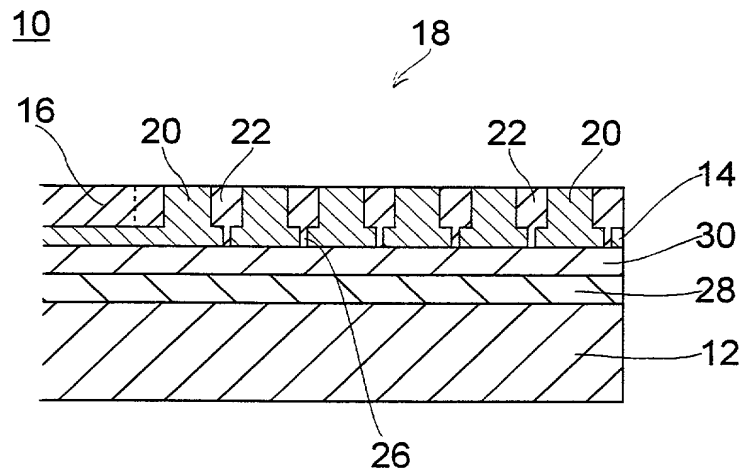


FIG. 12

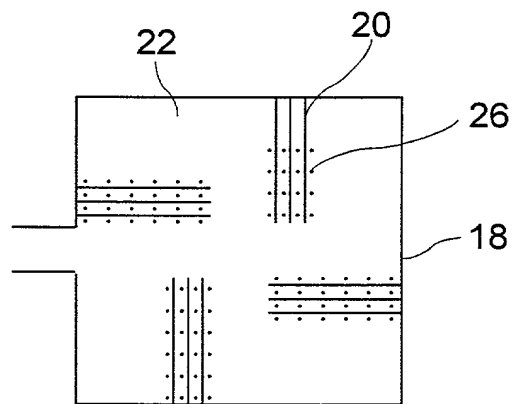
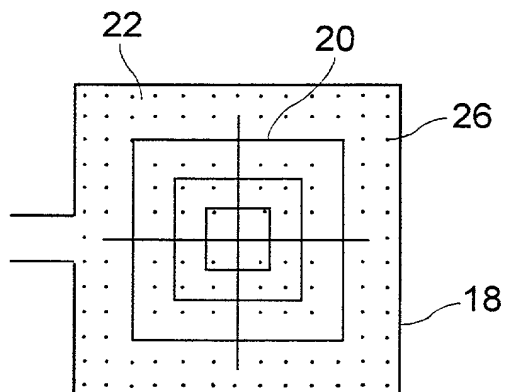


FIG. 13



Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

DAMASCENE INTERCONNECTION AND

SEMICONDUCTOR DEVICE

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ __月__日に提出され、米国出願番号または特許協定条約国際出願番号を____とし、
（該当する場合）____に訂正されました。☒ was filed on January 22, 1999
as United States Application Number or
PCT International Application Number
PCT/JP99/00225 and was amended on
____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米 国以外の国の少なくとも一ヶ国を指定している特許協力条約 365 (a) 項に基づき国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

外国での先行出願
10-11354

(Number)
(番号)

Japan

(Country)
(国名)

(Number)
(番号)

(Country)
(国名)

私は、第35編米国法典119条(e)項に基づいて下記の米 国特許出願規定に記載された権利をここに主張いたします。

(Application No.)
(出願番号)

(Filing Date)
(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米 国特許出願に記載された権利、又は米 国を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米 国特許出願に開示されていない限り、その先行米 国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づき表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の表明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣言を致します。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

23 January 1998

(Day/Month/Year Filed)
(出願年月日)

(Day/Month/Year Filed)
(出願年月日)

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)
(出願番号)

(Filing Date)
(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration
(日本語宣言書)

委任状: 私は下記の発明者として、不出願に関する一切の
手続を米特許商標局に対して遂行する弁理士または代理人
として、下記の者を指名いたします。(弁理士、または代理
人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint
the following attorney(s) and/or agent(s) to prosecute this
application and transact all business in the Patent and Trademark
Office connected therewith (list name and registration number)

And I hereby appoint as principal attorneys:

寄附送付元

The attorneys and agents of Hoffmann & Baron,
LLP, as listed on the attachment (Page 5a)

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住所		Residence	Ukyo-ku, Kyoto-shi, KYOTO 615-0045 Japan JPX
国籍		Citizenship	Japanese
私書箱		Post Office Address	c/o Rohm Co., Ltd. 21, Saiin Mizosaki-cho, Ukyo-ku, Kyoto-shi, KYOTO 615-0045 Japan
第二共同発明者名	200	Full name of second joint inventor, if any	Nobuhisa KUMAMOTO
第二共同発明者の署名	日付	Second inventor's signature	Date Nobuhisa Kumamoto 18/July/2000
住所		Residence	Ukyo-ku, Kyoto-shi, KYOTO 615-0045 Japan JPX
国籍		Citizenship	Japanese
私書箱		Post Office Address	c/o Rohm Co., Ltd. 21, Saiin Mizosaki-cho, Ukyo-ku, Kyoto-shi, KYOTO 615-0045 Japan

(第三以降の共同発明者についても同様に記載し、署名をす
ること)

(Supply similar information and signature for third and subsequent
joint inventors.)

第三共同発明者名		Full name of third joint inventor, if any	
300		Muneyuki MATSUMOTO	
第三共同発明者の署名	日付	Third inventor's signature	Date
		Muneyuki Matsumoto	18 / July / 2000
住所	Residence		
	Ukyo-ku, Kyoto-shi, KYOTO 615-0045 Japan		
国籍	Citizenship		
	Japanese		
私書箱	Post Office Address		
	c/o Rohm Co., Ltd. 21, Saiin Mizosaki-cho, Ukyo-ku, Kyoto-shi, KYOTO 615-0045 Japan		
第四共同発明者名		Full name of fourth joint inventor, if any	
第四共同発明者の署名	日付	Fourth inventor's signature	Date
住所	Residence		
国籍	Citizenship		
私書箱	Post Office Address		
第五共同発明者名		Full name of fifth joint inventor, if any	
第五共同発明者の署名	日付	Fifth inventor's signature	Date
住所	Residence		
国籍	Citizenship		
私書箱	Post Office Address		
第六共同発明者名		Full name of sixth joint inventor, if any	
第六共同発明者の署名	日付	Sixth inventor's signature	Date
住所	Residence		
国籍	Citizenship		
私書箱	Post Office Address		

第七共同発明者名		Full name of seventh joint inventor, if any	
第七共同発明者の署名	日付	Seventh inventor's signature	Date
住所		Residence	
国籍		Citizenship	
私書箱		Post Office Address	
第八共同発明者名		Full name of eighth joint inventor, if any	
第八共同発明者の署名	日付	Eighth inventor's signature	Date
住所		Residence	
国籍		Citizenship	
私書箱		Post Office Address	
第九共同発明者名		Full name of ninth joint inventor, if any	
第九共同発明者の署名	日付	Ninth inventor's signature	Date
住所		Residence	
国籍		Citizenship	
私書箱		Post Office Address	
第十共同発明者名		Full name of tenth joint inventor, if any	
第十共同発明者の署名	日付	Tenth inventor's signature	Date
住所		Residence	
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私書箱		Post Office Address	

ATTACHMENT 5a

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